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CONFIRMATION NO. 9618

|  |   |                               |   |   |                                |
|--|---|-------------------------------|---|---|--------------------------------|
| <b>SERIAL NUMBER</b><br>09/412,408   | <b>FILING OR 371(c) DATE</b><br>10/05/1999<br><b>RULE</b>   | <b>CLASS</b><br>705           | <b>GROUP ART UNIT</b><br>3685   | <b>ATTORNEY DOCKET NO.</b><br>336001-2026 |                                |
| <b>APPLICANTS</b><br>Kevin Foley, New York, NY;<br>Kim Bang, Englewood, NJ;  |   |                               |   |   |                                |
| <b>** CONTINUING DATA *****</b>  |   |                               |   |   |                                |
| <b>** FOREIGN APPLICATIONS *****</b>   |   |                               |   |   |                                |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b><br><b>** 10/26/1999</b>   |   |                               |   |   |                                |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged _____<br>Examiner's Signature _____ Initials _____ |   | <b>STATE OR COUNTRY</b><br>NY | <b>SHEETS DRAWING</b><br>19   | <b>TOTAL CLAIMS</b><br>29                 | <b>INDEPENDENT CLAIMS</b><br>5 |
| <b>ADDRESS</b><br>20999  |   |                               |   |   |                                |
| <b>TITLE</b><br>ELECTRONIC TRADING SYSTEM SUPPORTING ANONYMOUS NEGOTIATION AND INDICATIONS OF INTEREST   |   |                               |   |   |                                |
| <b>FILING FEE RECEIVED</b><br>1208   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |                                |